

Nomination Form

[Annexure A to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

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|---|---|--|---|---|---|---|---|--|-----------|------------------|---|--|--|--|--|--|--|-----------|--|--|
| RRB MASTER SECURITIES DELHI LTD, H-72 CONNAUGHT CIRCUS, NEW DELHI - 110001 | | FORM FOR NOMINATION (To be filled in by individual applying singly or jointly) | | | | | | | | | | | | | | | | | | |
| Date | D | D | M | M | Y | Y | Y | Y | UCC/DP ID | I | N | | | | | | | Client ID | | |
| I/We wish to make a nomination. [As per details given below] | | | | | | | | | | | | | | | | | | | | |
| Nomination Details | | | | | | | | | | | | | | | | | | | | |
| I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death. | | | | | | | | | | | | | | | | | | | | |
| Nomination can be made upto three nominees in the account. | | | Details of 1st Nominee | | | | | Details of 2nd Nominee | | | | | Details of 3rd Nominee | | | | | | | |
| 1 | Name of the nominee(s) (Mr./Ms.) | | | | | | | | | | | | | | | | | | | |
| 2 | Share of each Nominee | Equally <small>[If not equally, please specify percentage]</small> | % | | | | | % | | | | | % | | | | | | | |
| <i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i> | | | | | | | | | | | | | | | | | | | | |
| 3 | Relationship With the Applicant (If Any) | | | | | | | | | | | | | | | | | | | |
| 4 | Address of Nominee(s) | | | | | | | | | | | | | | | | | | | |
| | | | City / Place: | | | | | | | State & Country: | | | | | | | | | | |
| | | | PIN Code | | | | | | | | | | | | | | | | | |
| 5 | Mobile / Telephone No. of nominee(s) | | | | | | | | | | | | | | | | | | | |
| 6 | Email ID of nominee(s) | | | | | | | | | | | | | | | | | | | |
| 7 | Nominee Identification details – [Please tick any one of following and provide details of same] | | | | | | | | | | | | | | | | | | | |
| | | | <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID | | | | | | | | | | | | | | | | | |
| Sr. Nos. 8-14 should be filled only if nominee(s) is a minor: | | | | | | | | | | | | | | | | | | | | |
| 8 | Date of Birth {in case of minor nominee(s)} | | | | | | | | | | | | | | | | | | | |
| 9 | Name of Guardian (Mr./Ms.) {in case of minor nominee(s)} | | | | | | | | | | | | | | | | | | | |
| 10 | Address of Guardian(s) | | | | | | | | | | | | | | | | | | | |

| | | | | | | | |
|-------------------------------|---|----------|--|--|--|--------------------------------|--|
| | City / Place: State & Country: | | | | | | |
| | | PIN Code | | | | | |
| 11 | Mobile / Telephone no. of Guardian | | | | | | |
| 12 | Email ID of Guardian | | | | | | |
| 13 | Relationship of Guardian with nominee | | | | | | |
| 14 | Guardian Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID | | | | | | |
| Name(s) of holder(s) | | | | | | Signature(s) of holder* | |
| Sole / First Holder (Mr./Ms.) | | | | | | | |
| Second Holder (Mr./Ms.) | | | | | | | |
| Third Holder (Mr./Ms.) | | | | | | | |

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Declaration Form for opting out of nomination

[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

| | | | | | | | | | |
|--|------|---|---|---|---|---|---|---|---|
| To | Date | D | D | M | M | Y | Y | Y | Y |
| RRB MASTER SECURITIES DELHI LTD. H-72 CONNAUGHT CIRCUS, NEW DELHI - 110001 | | | | | | | | | |
| UCC/DP ID | I | N | | | | | | | |
| Client ID (only for Demat account) | | | | | | | | | |
| Sole/First Holder Name | | | | | | | | | |
| Second Holder Name | | | | | | | | | |
| Third Holder Name | | | | | | | | | |
| I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account. | | | | | | | | | |
| Name and Signature of Holder(s)* | | | | | | | | | |
| <div style="display: flex; justify-content: space-between;"> 1. _____ 2. _____ 3. _____ </div> | | | | | | | | | |

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature